

FILE COPY

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|-----------------------------|-------------------------|--------------|--------------------------------|-------------------------------|
| SERIAL NUMBER 09/405,653 | FILING DATE 09/24/99 | CLASS 438 | GROUP ART UNIT 1765 1041 | ATTORNEY DOCKET NO. 202406 |
|-----------------------------|-------------------------|--------------|--------------------------------|-------------------------------|

APPLICANT
SHUMING NIE, BLOOMINGTON, IN; WARREN C.W. CHAN, BLOOMINGTON, IN;
STEVEN R. EMORY, LOS ALAMOS, NM.

CONTINUING DOMESTIC DATA***
VERIFIED PROVISIONAL APPLICATION NO. 60/101,748 09/24/98
PROVISIONAL APPLICATION NO. 60/131,987 04/30/99

ok cc

371 (NAT'L STAGE) DATA***
VERIFIED

N-NIE cc

FOREIGN APPLICATIONS***
VERIFIED

N-NIE cc

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/18/99

| | | | | |
|---|---|---------------------------|------------------------|------------------------|
| Foreign Priority claimed 35 USC 119 (e-d) conditions met | <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance | STATE OR COUNTRY IN | SHEETS DRAWING 4 | TOTAL CLAIMS 168 |
| Verified and Acknowledged Examiner's Initials | <u>cc</u> | <u>cc</u> | | |

ADDRESS
LEYDIG VOIT & MAYER LTD
TWO PRUDENTIAL PLAZA
SUITE 4900
1300 NORTH STETSON
CHICAGO IL 60601-6780

TITLE
WATER-SOLUBLE LUMINESCENT QUANTUM DOTS AND BIOMOLECULAR CONJUGATES
THEREOF AND RELATED COMPOSITIONS AND METHOD OF USE

| | | |
|---------------------------------|---|---|
| FILING FEE RECEIVED \$812 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following: | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Proc.) <input type="checkbox"/> 1.18 Fees (Issu.) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit |
|---------------------------------|---|---|